

# RISK MANAGERS FORUMS

Middlesex Savings Bank Training Center  
July 21<sup>st</sup>, October 27<sup>th</sup> and December 15<sup>th</sup>

## Schedule of Events:

8:30 a.m.	Registration—Continental Breakfast
9:00 a.m.	Forum Convenes
Noon	Networking Lunch
2:00 p.m.	Adjourn

The Massachusetts Bankers Association is pleased to announce our 2017 Risk Manager Forums. Each year, the Risk Manager Forums aims to provide peer group discussion amongst Chief Risk Officers (CROs) and risk managers. The series is designed for the risk “point person” in your institution – the CRO, Chief Operating Officer (COO), compliance and risk managers alike and any staff member wearing the risk “hat”.

This year, we are offering a series of three forums. These sessions are designed to better acquaint risk officers from our various banks with each other, identifying common issues, techniques, challenges and potential solutions. Our primary goal is to ensure that **risk** is not just another four-letter word. Risk permeates every corner of the bank.

Our facilitator, Jim Jones, founder and President of First Wellesley Consulting Group, Inc., will conduct these sessions along with various expert guest facilitators throughout the year. Session themes for the year will return to enterprise risk management (ERM) topics, beginning with Key Risk Indicators (KRIs) and the risk appetite statement at Session 1 on June 9th.

**Session 2** will focus on **Corporate Governance** and reporting to the board of directors using best practices for 2017 and beyond. **Session 3** will conclude the Risk Forums with a focus on the **OCC Semi-Annual Risk Perspectives** and their applicability to risk management in the northeast and the Commonwealth.

## Session 1: Measuring KRIs to Support Strategic Objectives

Many risk functions approach the process of identifying and measuring KRIs to meet the minimum expectations of auditors and regulators. If the exercise also supported a complete and comprehensive view of your bank’s risk, and if ambiguity and uncertainty over the spectrum of risk could be removed, would you as a risk manager support greater innovation and risk taking?

Some believe that the rate of change today is faster than it has ever been, and may be the slowest it will ever be for the remainder of our life. Innovation is the only source of sustainable competitive advantage.

The risk management function has an opportunity to use the risk, threat, and control framework of the institution to promote new products and services. The session will review the linkages of KRIs, risk appetite and other ERM tools and techniques to build a bridge to strategic planning and the process of innovation.

## Session 1 Speaker:

**Mike Cohn, Member of the Firm, Wolf & Company P.C.**



Mike serves as Director of the WolfPAC Solutions Group at Wolf & Company, P.C. He is responsible for the strategic direction of the group and provides enterprise risk management (ERM) advisory services and board training to community based financial institutions. An expert on ERM, Mike routinely speaks on the topic before banking professionals nationwide. The

increased maturity of enterprise risk management in fast changing community based institutions provides an opportunity for tight integration with business strategy and important operational activities including key risk indicator monitoring and cost of risk management measurement. Mike challenges many preconceptions on the optimum effectiveness of the chief risk officer role, while presenting practical approaches to improve ERM program effectiveness.

## Meet Your Facilitator:

**James D. Jones, Founder, President and CEO, First Wellesley Consulting Group, Inc.**



James D. Jones is founder, president & CEO of First Wellesley Consulting Group, Inc., Wellesley Hills, MA ([www.FirstWellesley.com](http://www.FirstWellesley.com)). First Wellesley offers strategic and succession planning, lending consulting, SurveyMatters™ instant polling, professional development and education. First Wellesley received the Banker & Tradesman Best of 2015 Gold Award in the Bank Consultant

Category, its seventh year of recognition.

Mr. Jones, a 37-year industry veteran, is a nationally known speaker who focuses on the future of the industry, strategic excellence, effective innovation, best practices and FinTech technologies. He is a former bank and mortgage executive and Big 4 consultant. He speaks nationally to CEO, director and senior management audiences and educates industry professionals in strategy, lending and technology. Since 2005, Mr. Jones has served on the core faculty of the New England School for Financial Studies. He is the author of Strategic Planning for Mortgage Lenders and is designated as a Mortgage Bankers Association of America Master Faculty Fellow.

# 2017 Risk Manager Forums

## Registration

Name		
Nickname		
Title		
Institution		
Session(s) Circle One:      1      2      3      All Three		
Billing Address		
City	State	Zip
Telephone		
Fax		
Email		
<b>Enrollment Fees:</b> <b>Members:</b> All three sessions \$599 <b>Individual Sessions</b> \$250 <b>Nonmembers:</b> All three sessions \$1,195 <b>Individual Sessions:</b> \$500  <input type="checkbox"/> <b>Check made payable to Mass. Bankers Association \$</b> _____  For credit card payments, please register online. If you cannot you may complete the following and fax to our secure fax line (617) 249-0441. PCI Compliance mandates that the form must be faxed, do not email. <input type="checkbox"/> MasterCard <input type="checkbox"/> Visa <input type="checkbox"/> American Express <input type="checkbox"/> Discover  Card Number _____ Amount \$ _____ Expiration Date _____ Signature _____  Register online at <a href="http://www.massbankers.org">www.massbankers.org</a> or <b>Return completed form and payment to:</b> Massachusetts Bankers Association-RMF-17 One Washington Mall, 8th Floor Boston, MA 02108-2603		

## 2017 Forum Dates:

**July 21**

**October 27**

**December 15**

**Middlesex Savings Bank Training Center**  
**120 Flanders Road, Westborough**

## Who Should Attend?

Staff involved in bank compliance management practices and key risk management duties. Staff members with corporate governance responsibilities at the board of director level. Senior compliance officers, risk analysts and senior staff auditors.

## Directions:

**Driving from North of Rt. 9:** Take 495 South to Route 9 West (Exit 23B). Follow approximately 3/10 mile to Computer Drive Exit. At top of ramp make left at traffic light onto Computer Drive. Stay in the right hand lane. Computer Drive becomes New Flanders Road as it loops over Route 9. (You will see Owen O'Leary's at set of lights). Stay straight through lights at Owen O'Leary's. Continue south on New Flanders Road. New Flanders turns into Flanders Road. Follow to 120 Flanders Road. Make left into the park, then first right into the MSB Parking Lot. Total mileage from Piccadilly Pub to 120 Flanders is approximately 1.2 miles. Follow the parking lot around to the right. The Training Center Entrance is on the right side of the building (2nd floor). Note: if wheelchair access is needed, enter through the front of the building. The elevator is located in the front lobby. Follow the signs to the Training Center on the 2nd floor.

**Driving from South of Rt. 9:** Take 495 North to Route 9 West (Exit 23B). Follow approximately 4/10's mile to Computer Drive Exit. At top of ramp make left at traffic light onto Computer Drive. Stay in the right hand lane. Follow as above.

## Cancellation and Refund Policy:

June 5, 2017 is the deadline for cancellations. A \$100 per person cancellation fee will be charged. Withdrawals from individual sessions after that date will forfeit the enrollment fee. Enrollment will remain open until the sessions are full. Direct inquiries or registration/cancellation requests to Pat Tabolsky, Registrar, [ptabolsky@massbankers.org](mailto:ptabolsky@massbankers.org).