



Three Key Risk Assessments In Your ERM Program - ERM, IT, and Internal Controls

A web-based seminar

Tuesday, June 6, 2017, 2:30 pm - 4:30 pm (EST)

Program Content:

Financial Institutions provide great value to the American economy. But that value comes with risks. Strategic-minded institutions do not strive to eliminate risk or even to minimize it - they strive to manage risk at the enterprise-wide level.

This webinar will first take you through a step-by-step process to develop strong Risk Assessments to address key areas of your institution. Then the presenter will specifically focus on three key Risk Assessments you must have as critical components of your ERM Program: the Enterprise Risk Management Risk Assessment, Information Technology Risk Assessment, and the Internal Controls Risk Assessment.

You will walk away with practical tools and examples you can implement in your organization immediately.

This webinar is for participants who have a basic foundational knowledge of Enterprise Risk Management and are looking for specific examples on how to complete these three important Risk Assessments.

Covered Topics:

Part I:

- ERM quick overview of key definitions
Three Key Phases of ERM and how Risk Assessments fit in
The Risk Assessment Process
Risk Assessment System (RAS)
CAMELS Rating and How They Relate to Risk Assessments
Top 8 Risks and Other Important Risks to Assess
Annual Risk Assessments recommended and Areas Assessed
ERM Risk Assessment Matrix - Will complete several of the major risks

Part II:

- Information Technology areas that need to be assessed
Information Technology Risk Assessment
Definitions
Categories Included
Complete example of the IT Risk Assessment using Template

Part III:

- Internal Controls Risk Assessment
List of Areas Assessed
Categories included
Complete example of several Areas Assessed using Internal Controls Risk Assessment Template

Who Should Attend:

This informative session is designed for Risk Managers, Chief Risk Officers, Compliance Officers, Chief Operating Officer, Chief Credit Officer, Internal Auditors, and the entire risk management team.

Presenter:

Marci Malzahn is the president and founder of Malzahn Strategic, a community bank consultancy focused on strategic planning, enterprise risk management, and talent management.

operations, office management, risk management, and HR. In her last year as EVP/COO/CRO, Marci created and focused on the bank's risk management program.

Before starting the bank in 2005, Marci took a detour from banking for five years and worked for a \$34 million revenue non-profit organization where she led IT, HR and Finance.

Marci is the recipient of a number of professional awards, is a published author of three books, and an international bilingual public speaker, speaking frequently at banking conferences and associations.

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